

# Estimation of crop biophysical and biochemical parameters

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## FATIMA

FArming Tools for external nutrient Inputs and water MAnagement



European  
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FATIMA aims at developing innovative agri-environment management that help the intensive farm sector optimize their external input (**water**, **nutrients**), with the vision of bridging **sustainable crop production** and fair economic competitiveness.

## WP 2.2 Earth Observation for monitoring plant status and yield

### BIOPHYSICAL & BIOCHEMICAL PARAMETERS:

- fAPAR
- Fractional vegetation cover
- Leaf Area Index
- Albedo
- Chlorophyll content (for N- content)

FATIMA looks at improvements of current E.O. methodologies and new developments in relation to new sensors capabilities



- Testing new algorithms for biophysical-biochemical parameters
- Modeling/benchmarking exercise is being carried out in pilot areas

Empirical approaches

PARAMETRIC METHODS

NON-PARAMETRIC METHODS

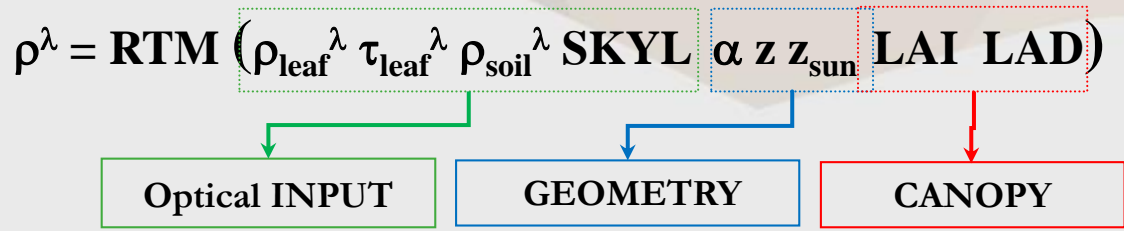
BROAD BAND indexes

NARROW band indexes

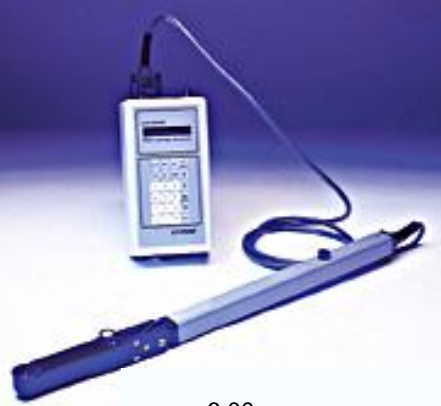
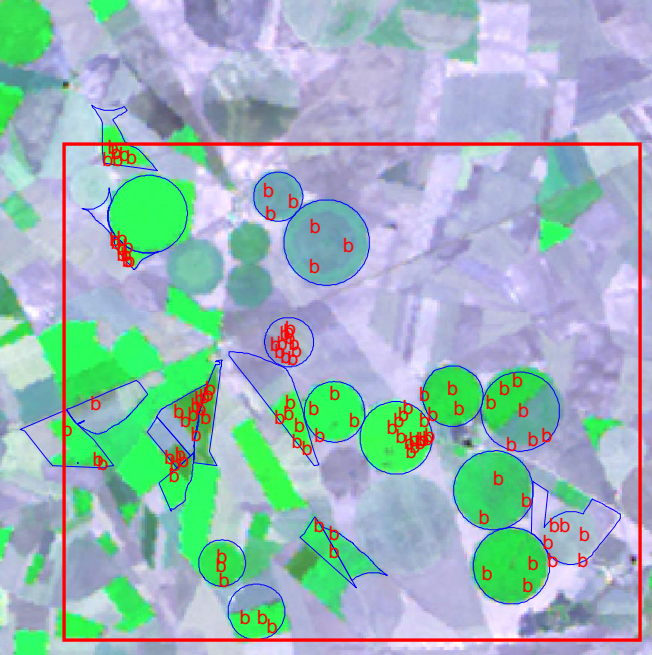
Artificial Neural Networks

Radiative Transfer Models (RTM)

Physically based approach



Hybrid methods  
Artificial neural networks trained with RTM  
generated datasets (Sentinel ToolBox)

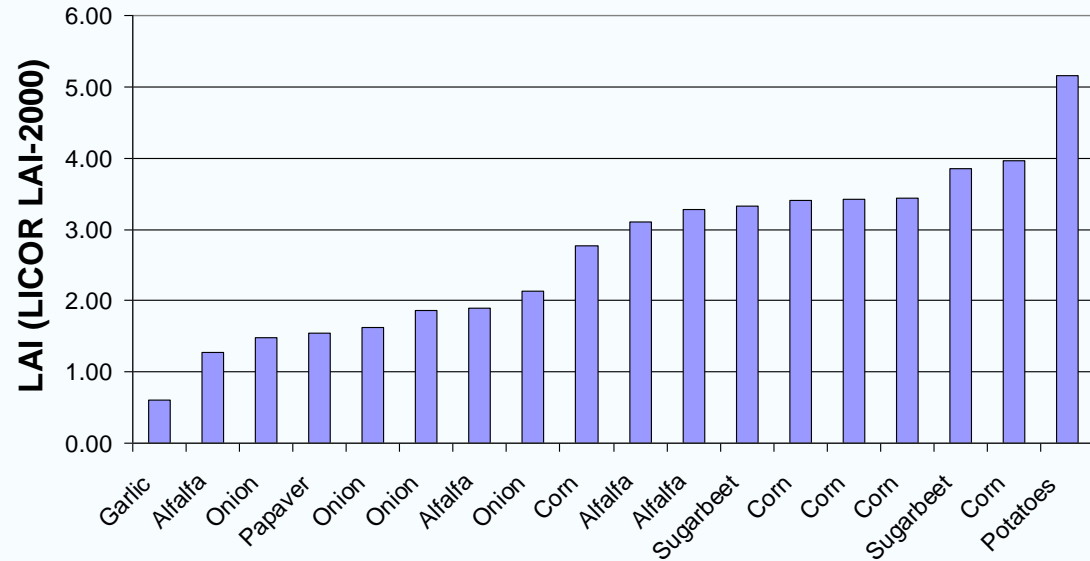


## LAI measurements

## CLAIR model calibration

$$LAI = -\frac{1}{\alpha} \ln\left(1 - \frac{WDVI}{WDVI_{\infty}}\right)$$

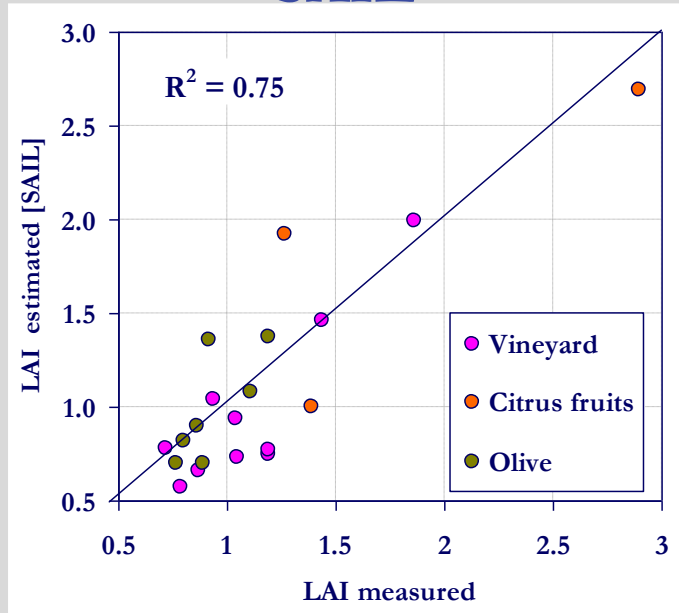
The final value of  $\alpha$  is taken in correspondence of the minimum error between observed and estimated LAI



# MIVIS (Sicily, 2001-2002): COMPARISON BETWEEN SAIL AND CLAIR MODELS

(Minacapilli, D'Urso, Liang)

## SAIL

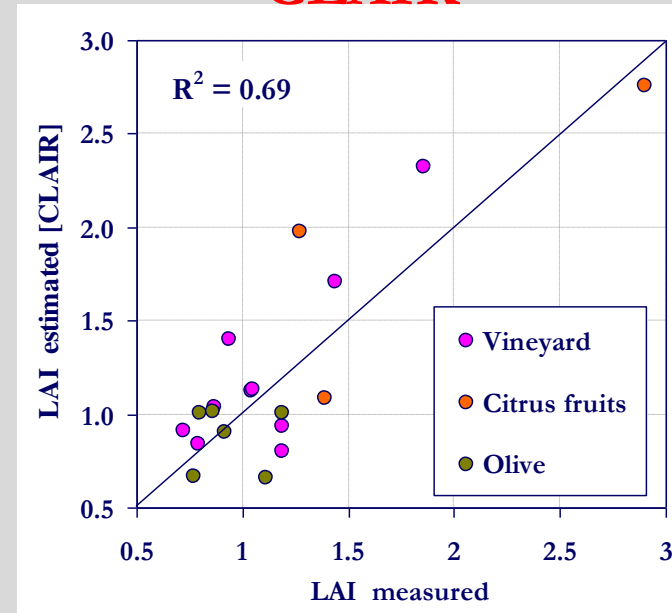


	Citrus Fruits & Olive	Vineyard	ALL
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$R^2$	0.77	0.77	<b>0.75</b>
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S. E.	0.310	0.220	<b>0.283</b>
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## CLAIR



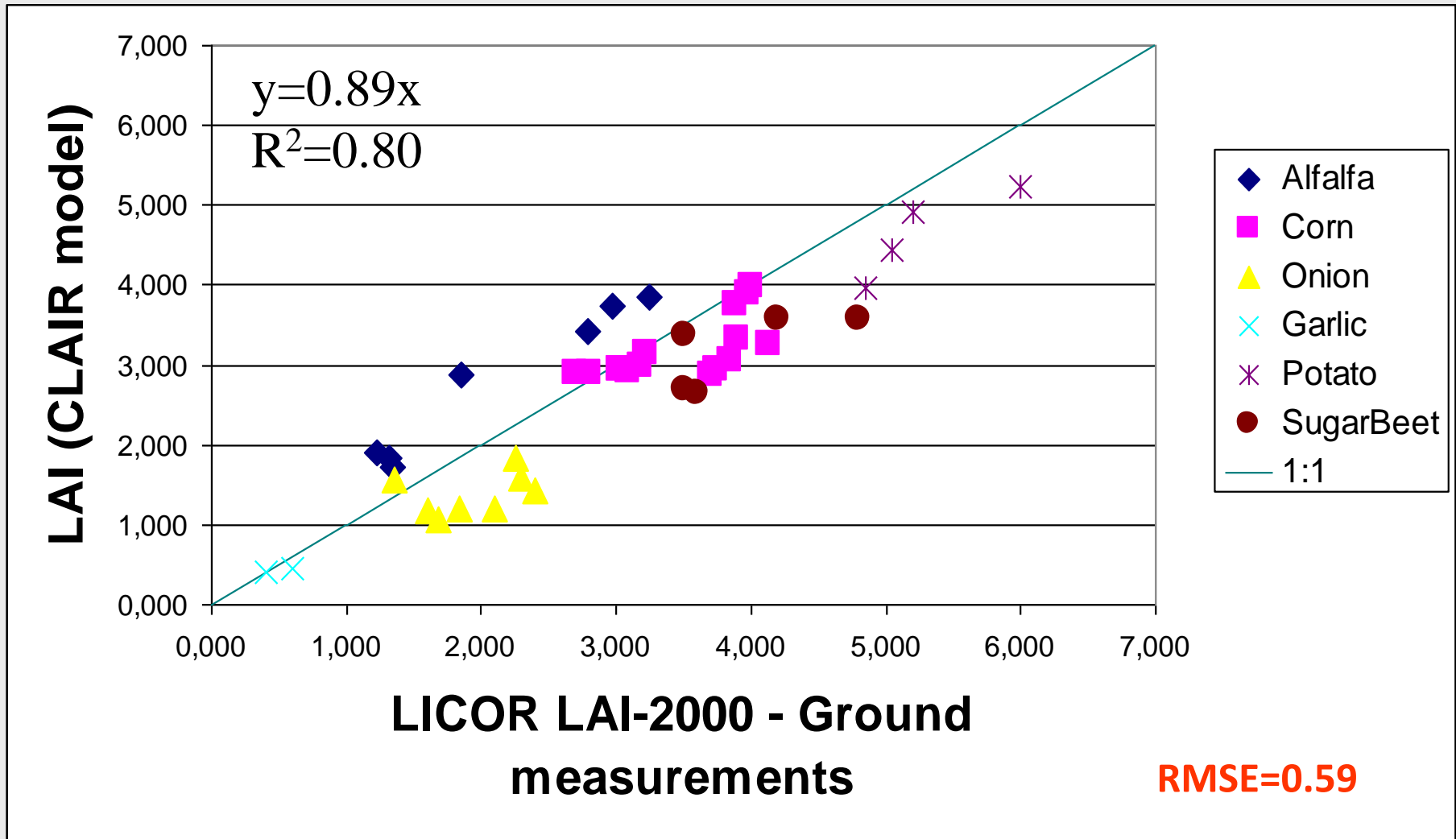
	Citrus Fruits & Olive	Vineyard	ALL
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$R^2$	0.74	0.68	<b>0.69</b>
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S. E.	0.372	0.285	<b>0.328</b>
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The comparison of the two approaches shows a good correlation between the two sets of LAI estimates, with a better agreement in the SAIL approach respect to the empirical CLAIR.

# 14/07 – Barrax 2003 (Chris Proba)

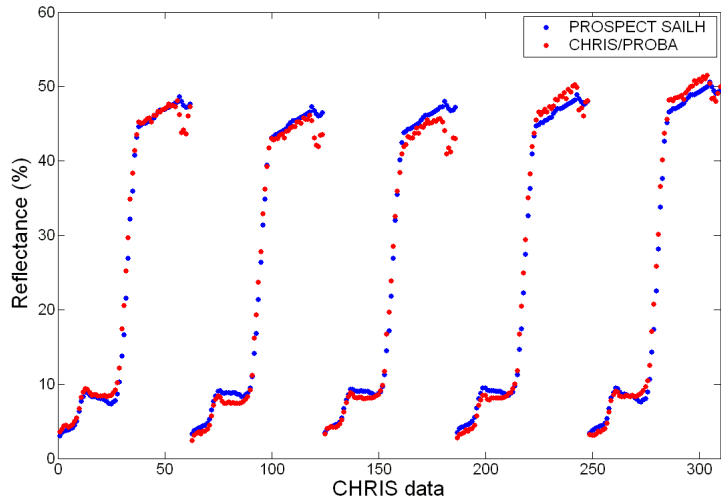


The empirical relationship has been verified by using 40 independent field measurements.

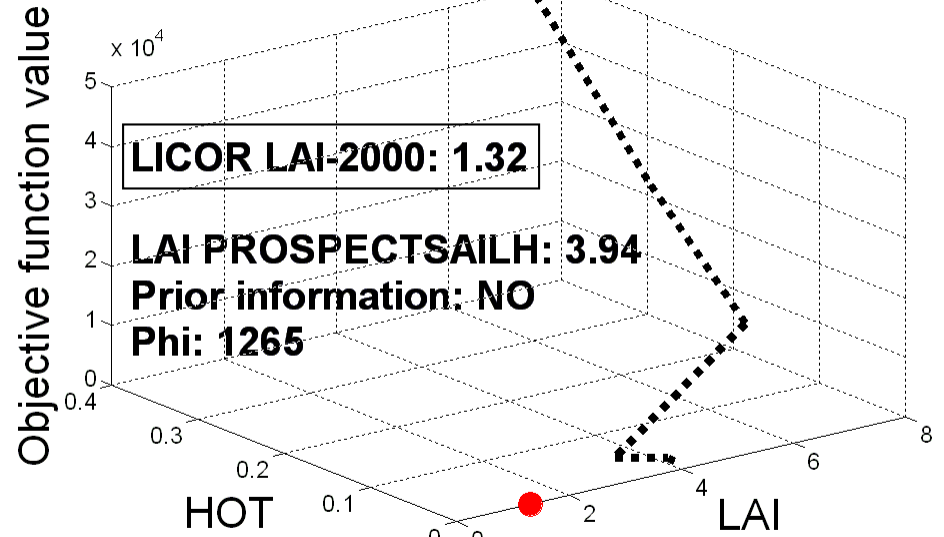




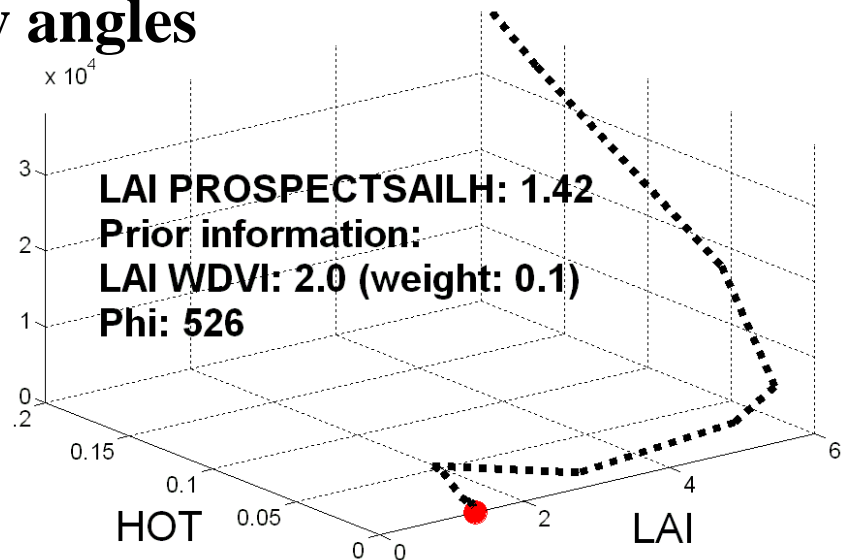
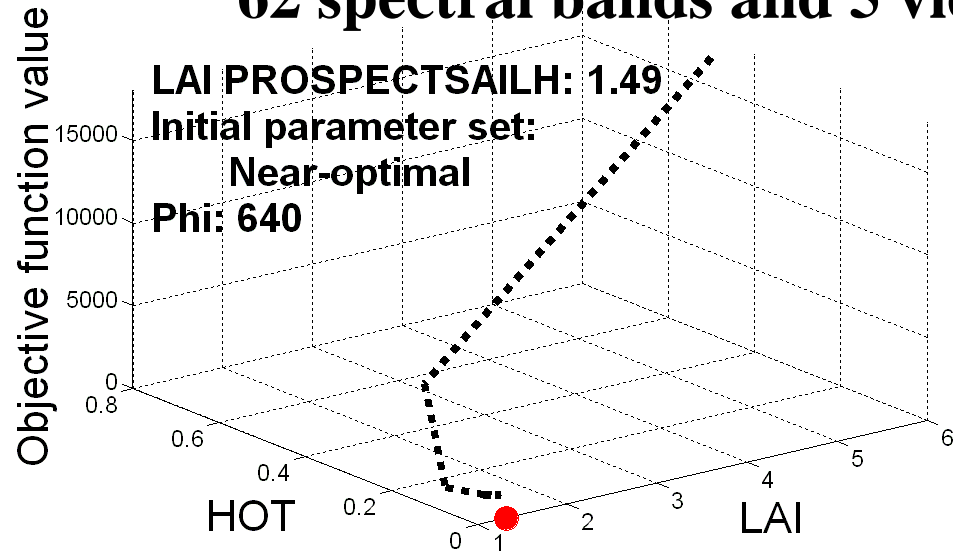
# The importance of prior information and the initial parameter set in the estimation process:

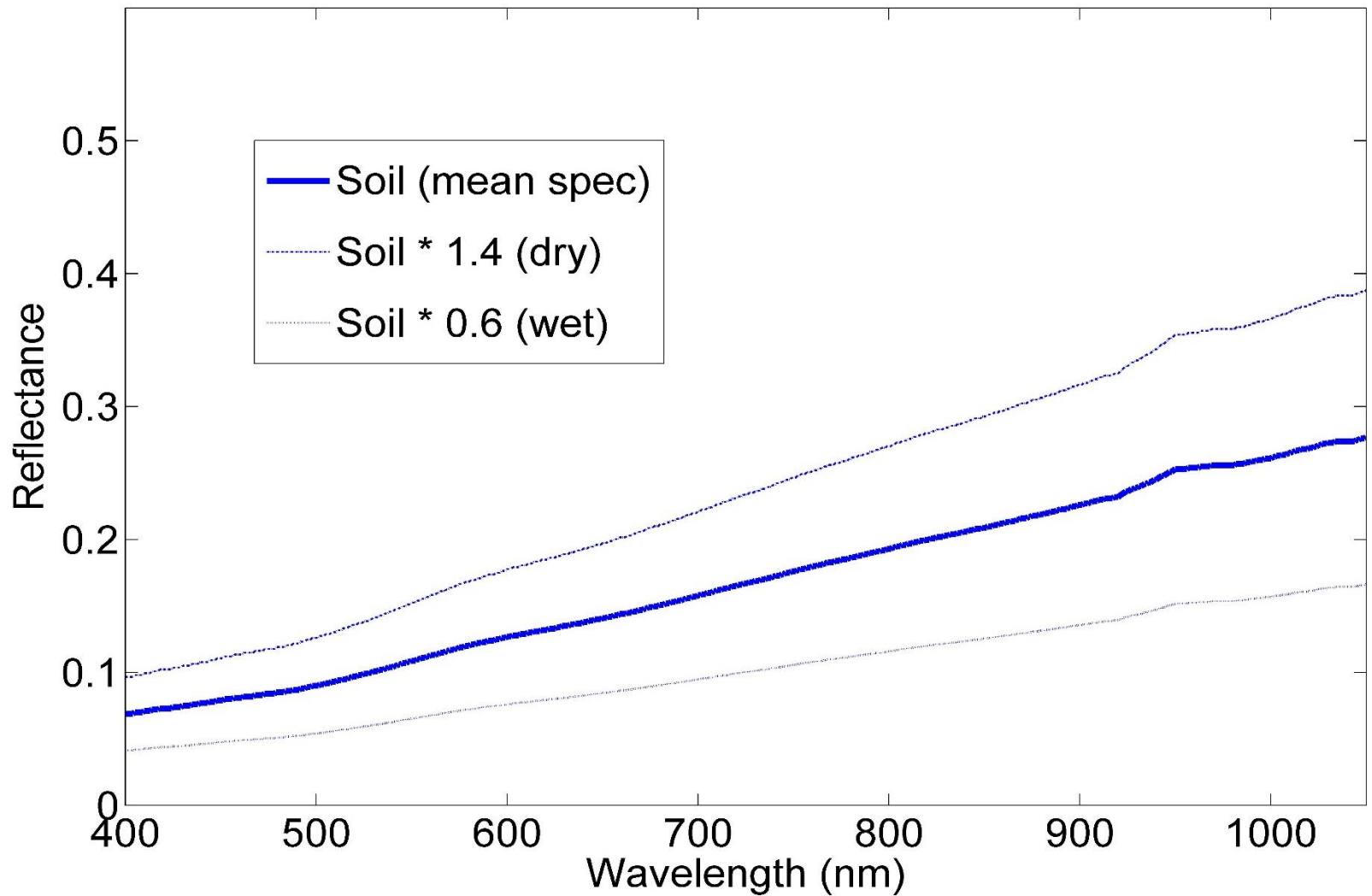


LAI: 1.32



## 62 spectral bands and 5 view angles





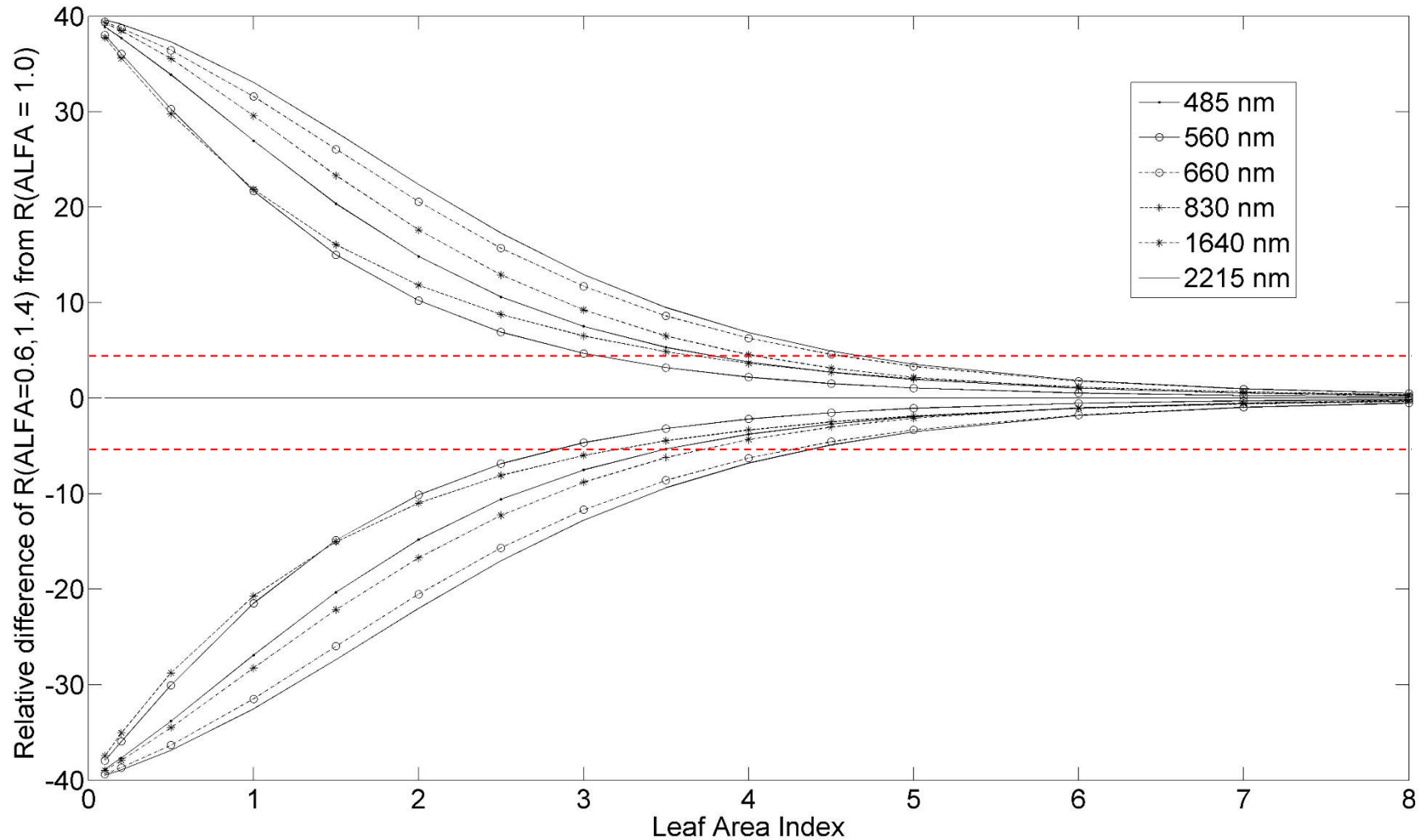
Standard (mean) soil as model input, reflectance variation due to soil moisture:

$\alpha_{\text{soil}} = 0.6 \rightarrow$  WET SOIL

$\alpha_{\text{soil}} = 1.4 \rightarrow$  DRY SOIL



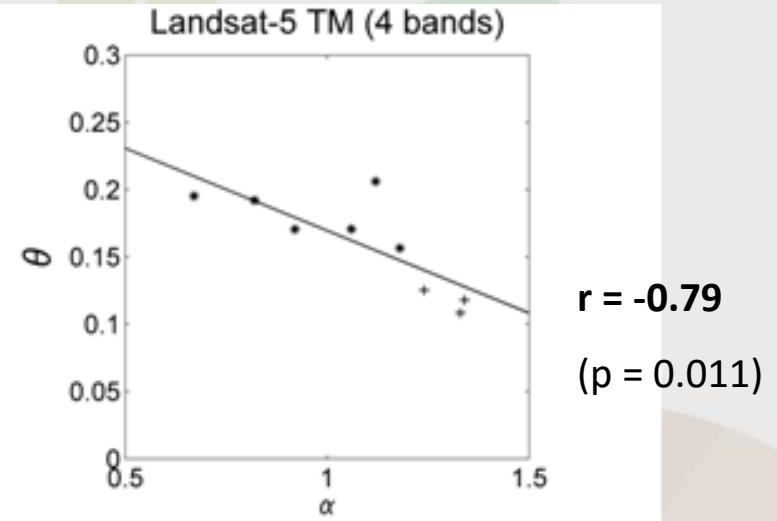
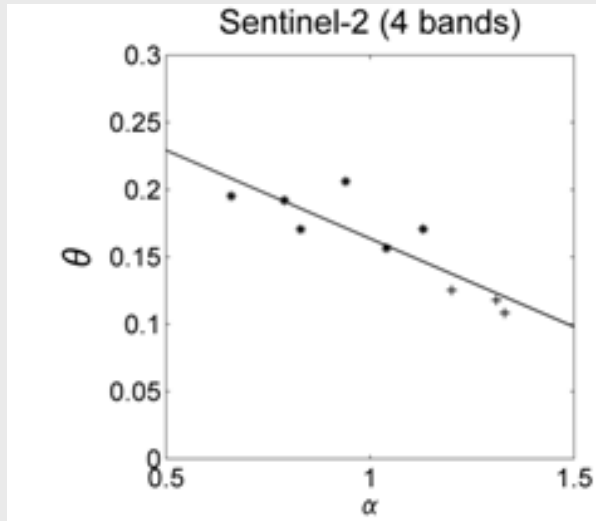
# Spectral sensitivity to $\alpha_{\text{soil}}$ factor



Landsat-7 configuration

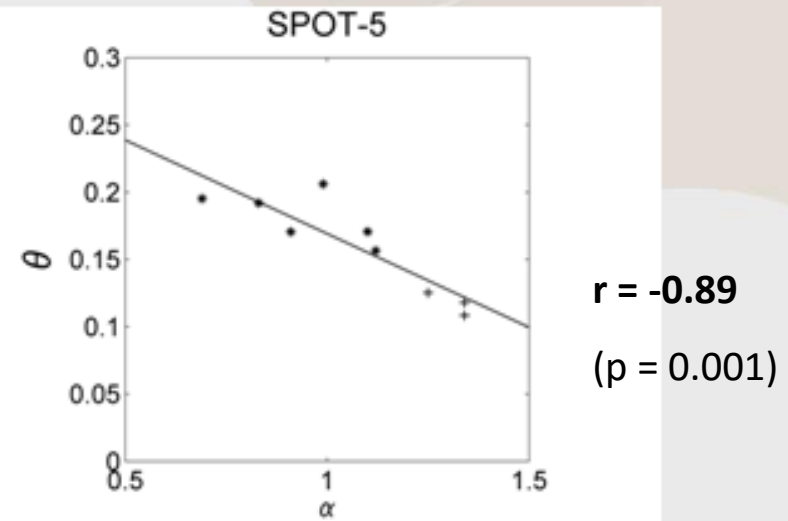
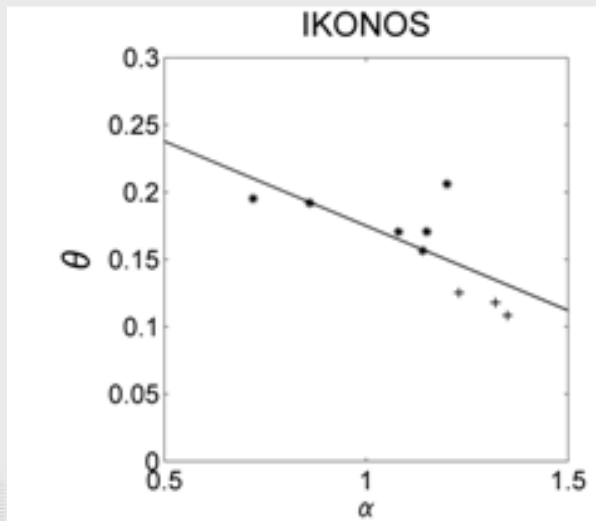
# Results from PLEIADeS 2007 campaign: soil moisture and radiometric measurements

$r = -0.87$   
( $p = 0.002$ )



$r = -0.79$   
( $p = 0.011$ )

$r = -0.73$   
( $p = 0.027$ )



$r = -0.89$   
( $p = 0.001$ )



# Chlorophyll and Nitrogen estimation

Index	Formulation	Reference
CI <sub>red-edge</sub>	$\left(\frac{R_{783}}{R_{705}}\right) - 1$	Gitelson et al. (2003, 2006)
CI <sub>green</sub>	$\left(\frac{R_{783}}{R_{560}}\right) - 1$	Gitelson et al. (2003, 2006)
REP	$705 + 35 \frac{(R_{665} + R_{783})/2 - R_{705}}{R_{740} - R_{705}}$	Guyot and Baret (1988)
MTCI	$\frac{R_{740} - R_{705}}{R_{705} - R_{665}}$	Dash and Curran (2004)
MCARI/OSAVI[705,750]	$\frac{[(R_{740} - R_{705}) - 0.2(R_{740} - R_{560})](R_{740}/R_{705})}{(1 + 0.16)(R_{740} - R_{705})/(R_{740} + R_{705} + 0.16)}$	Wu et al. (2008)
TCARI/OSAVI[705,750]	$\frac{3[(R_{740} - R_{705}) - 0.2(R_{740} - R_{560})](R_{740}/R_{705})}{(1 + 0.16)(R_{740} - R_{705})/(R_{740} + R_{705} + 0.16)}$	Wu et al. (2008)
NDRE1	$\frac{R_{740} - R_{705}}{R_{740} + R_{705}}$	Gitelson and Merzlyak (1994), Sims and Gamon (2002)
NDRE2	$\frac{R_{783} - R_{705}}{R_{783} + R_{705}}$	Barnes et al. (2000)

Clevers, Gitelson, 2012

<http://dx.doi.org/10.1016/j.jag.2012.10.008>



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# The concept of the Nitrogen Nutrition Index NNI

$$\text{NNI} = \text{Na}/\text{Nc}$$

ratio between the actual crop N uptake (**Na**)  
and the critical N uptake (**Nc**)

$$\text{Nc} = a_c W^{-b}$$

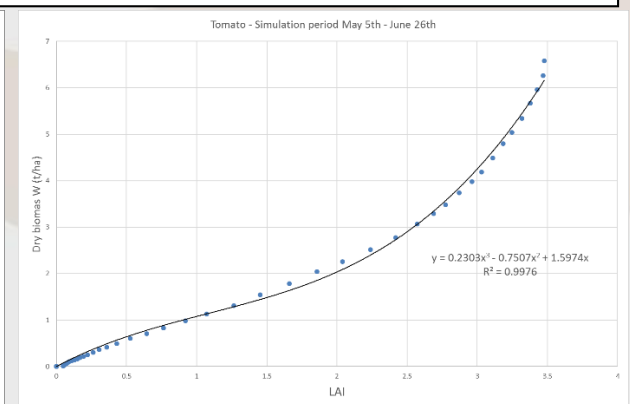
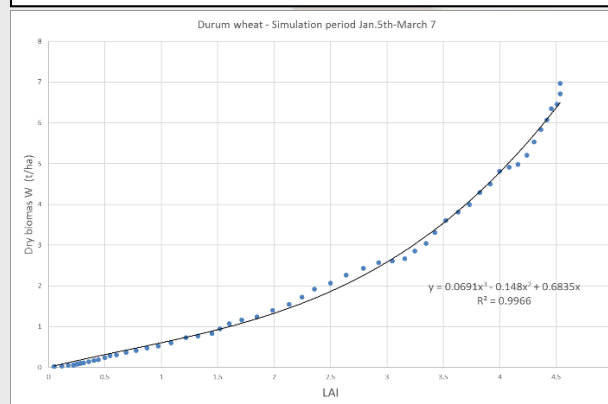
with  $W$  being the dry biomass in t/ha. Coefficients  $a_c$  and  $b$  for different crop species are found in literature (i.e.: Lemaire et al., J. Agronomy 28: 614-624)

Wheat :  $a_c = 5.3$  ;  $b = 0.44$

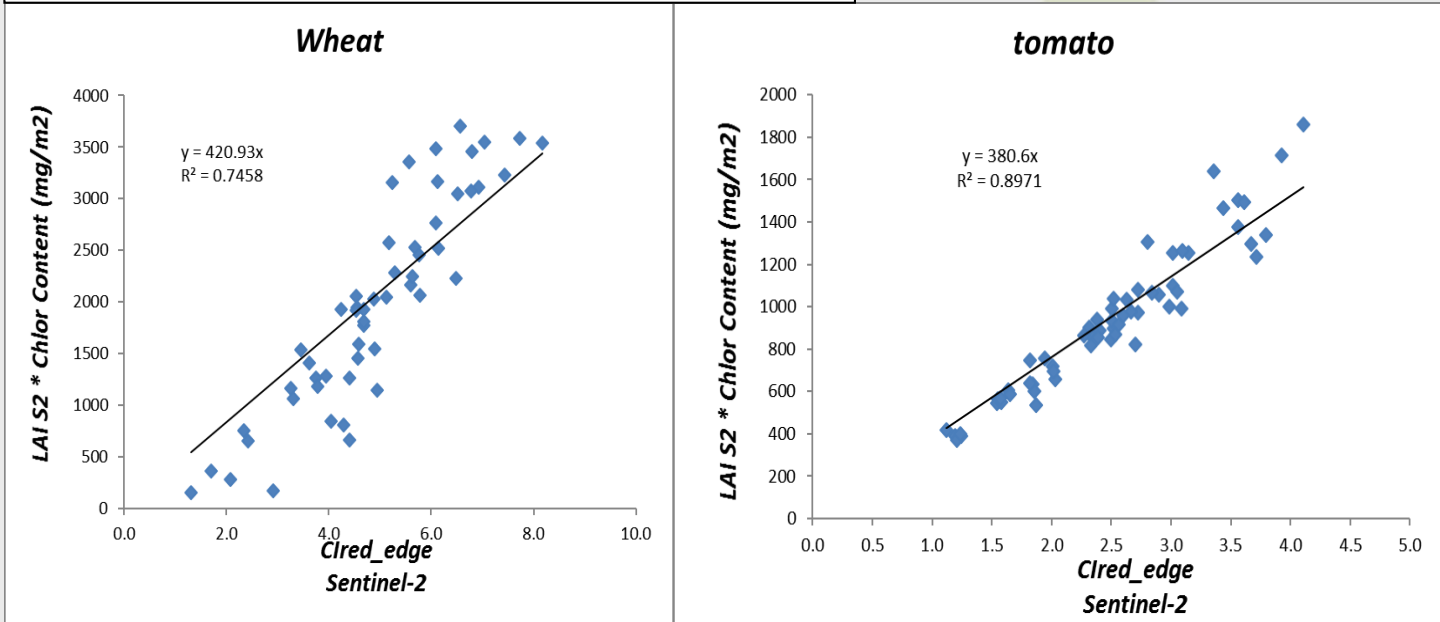
Tomato  $a_c = 4.5$  ;  $b = 0.33$

deriving  $W$  from LAI

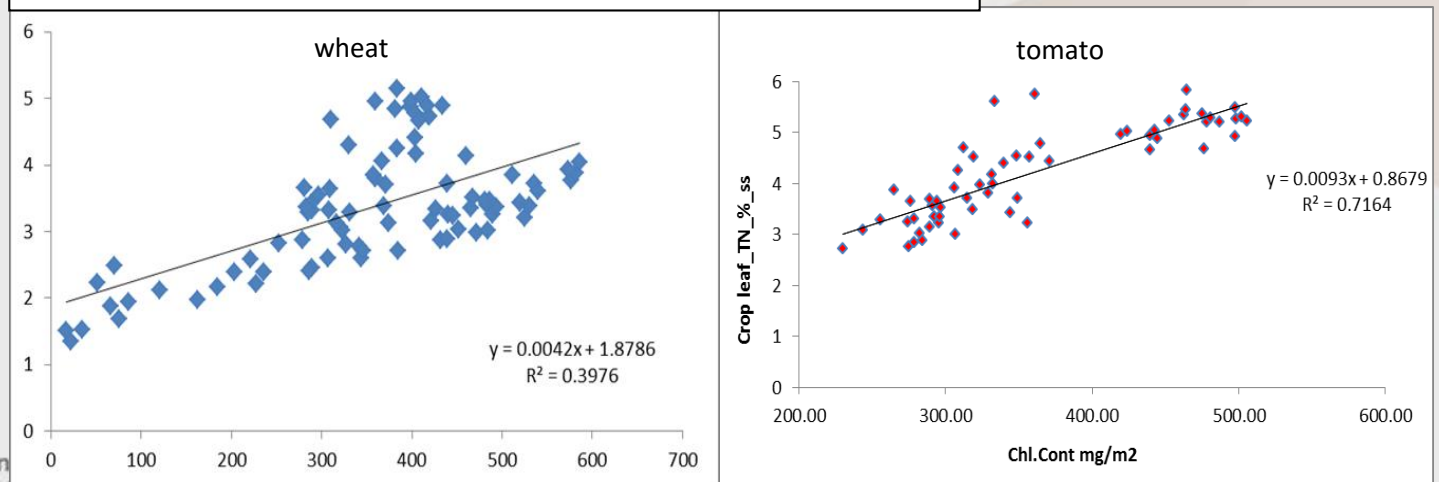
Calibration of W(LAI) relationship based on EPIC and field data – Wheat (left) and Tomato (right)



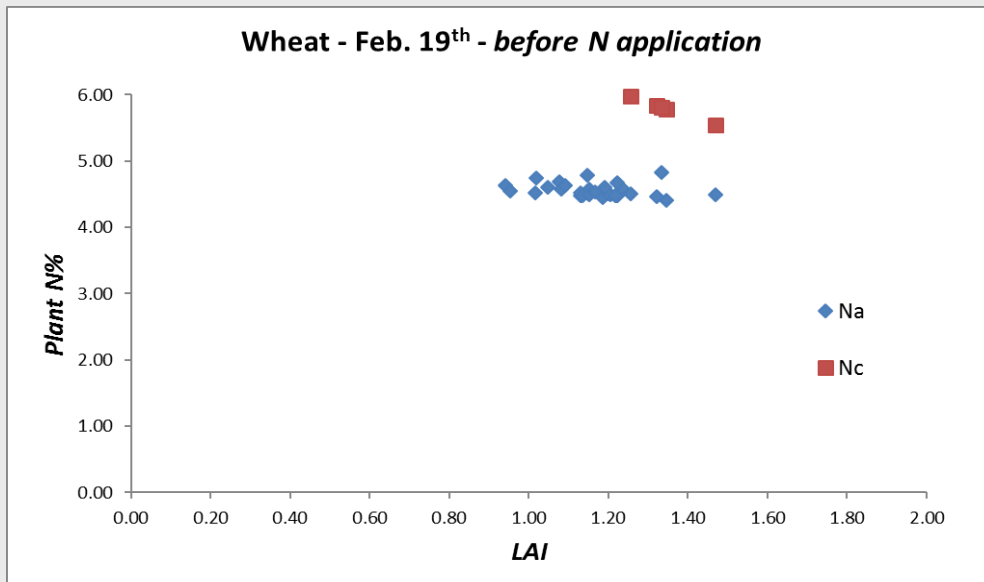
1) Calibration between  $Cl_{red\_edge}$  and canopy Ch cont.



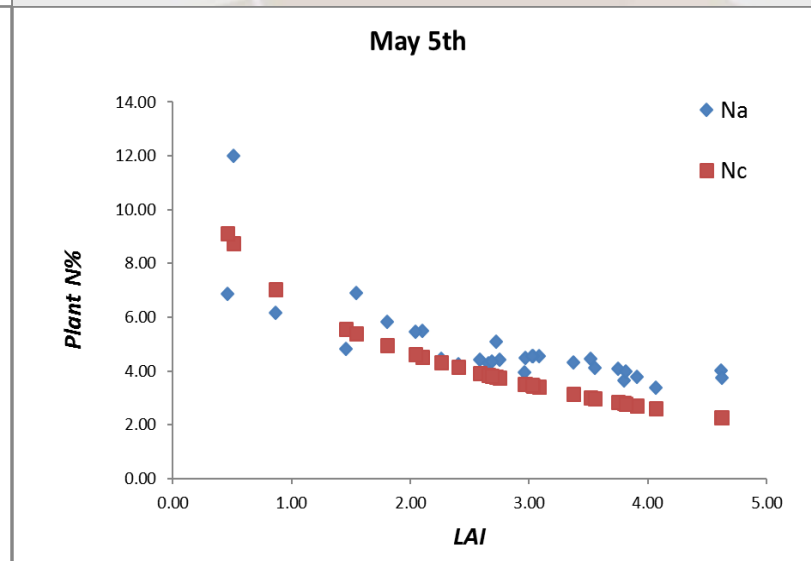
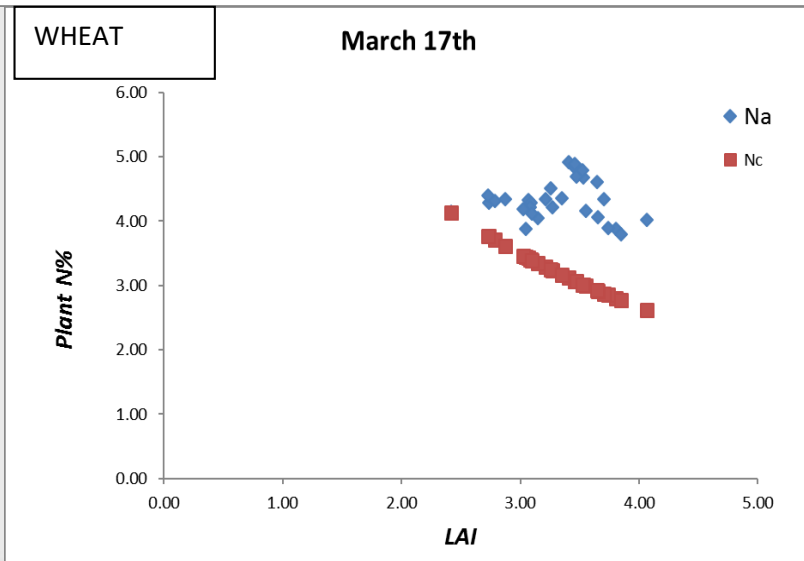
2) Calibration between laboratory N% and MC-100 readings



WHEAT:  $Na = 0.0042 * (420.93 Clred\_edge) / LAI_{S2} + 1.8786$

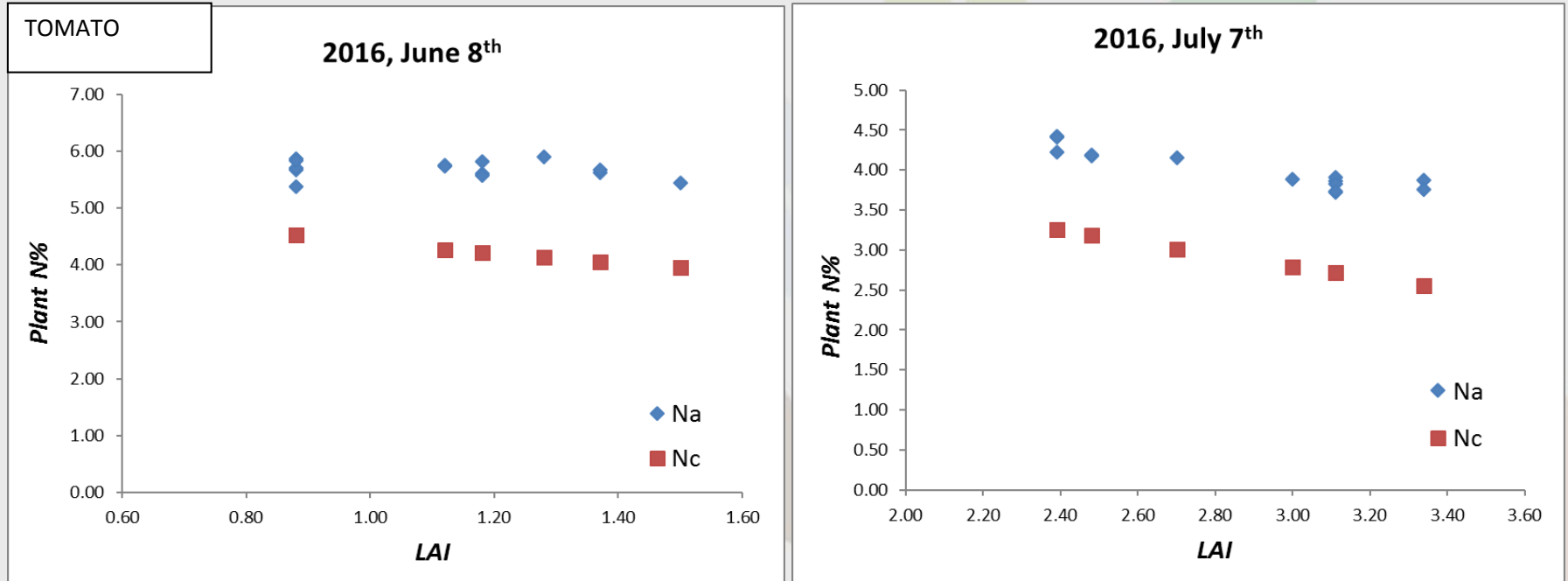


FATIMA test site  
Tarquinia, 2016





TOMATO:  $N_a = 0.0093 * (380.6 Cl_{red\_edge}) / LAI_{S2} + 0.8679$



FATIMA test site  
Tarquinia, 2016



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## Lessons learnt from past experiences:

Correlations between field measurements of crop biophysical parameters and **spectral indexes generally improve when using narrow bands** instead of broad bands

Number of bands appears to be the most important advantage of using hyperspectral data over multispectral data to **predict LAI** in physically based methods

However, work still remains to determine when a predictive model is **overfit**. Inversion is still computationally heavy and appropriate initialisation is required.

Spectral channels in the **red-edge and SWIR** regions are generally more important than those in the near-IR for predicting **chlorophyll**.

## Possible advancements :

Hyperspectral data can support the advancement of **hybrid methods** for estimating crop biophysical and biochemical parameters

Operative applications already available for LAI (e. Sentinel toolbox; Cyclopes, MERIS ) can be improved by using more efficient methods, such as alternative Machine Learning Regression Algorithms (**MLRA**) trained with RTM

There is **still room for improving** the application of hyperspectral **vegetation indexes** based on the combination of  $n > 2$  narrow-bands

